

2 **Altered c-Fos expression demonstrates neuronal stress**
3 **in mummichog, *Fundulus heteroclitus*, exposed**
4 **to *Pfiesteria shumwayae* and *Chaetoceros concavicornis***

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9 **Abstract** To better understand sublethal effects of harmful
10 algal blooms (HABs) on fish, mummichog, *Fundulus het-*
11 *eroclitus* (L.), were exposed in the laboratory to varying,
12 environmentally relevant densities of *Pfiesteria shumwayae*
13 (Glasgow et Burkholder, CCMP 2089, dinoflagellate) and
14 *Chaetoceros concavicornis* (Mangin, CCMP 169, diatom).
15 Two experiments were conducted during the spring of 2003
16 and 2004 to quantitatively examine the effects of acute (2 h)
17 *P. shumwayae* and *C. concavicornis* algal exposure on
18 mummichog brain activity using c-Fos expression as a
19 marker of altered neuronal activity. Brains from HAB-
20 exposed fish were removed, sectioned, and stained using
21 immunocytochemistry prior to quantifying neuronal c-Fos
22 expression. Fish exposed to *P. shumwayae* and *C. concavi-*
23 *cornis* showed increased c-Fos expression compared to

unexposed control fish. A significant dose-response rela- 24
tionship was observed, with increased labeling in brains of 25
fish exposed to higher cell densities for both HAB species 26
tested ($P \leq 0.01$). Increased labeling was found in the tel- 27
encephalon, optic lobes, midbrain, and portions of the 28
medulla. The greatest increases in expression were 29
observed in the telencephalon of *P. shumwayae*-exposed 30
fish, and in the telencephalon and optic lobes of *C. concavi-* 31
cornis-exposed fish ($P \leq 0.01$). These increases in c-Fos 32
expression are consistent with other physical and chemical 33
stress exposures observed in fish. Neuronal stress, evi- 34
denced by c-Fos expression, demonstrates a sublethal effect 35
of exposure and changes in brain activity in fish exposed to 36
HAB species. 37

38 **Introduction**

Pfiesteria shumwayae and *Chaetoceros concavicornis* are 39
species of algae that form harmful algal blooms (HABs) 40
and play a role in fish kills along the United States coast- 41
line. *Pfiesteria shumwayae*, a member of the *Pfiesteria* 42
complex, has been associated with ulcerative lesions in fish 43
along the mid-Atlantic coast of the United States 44
(Burkholder et al. 1995; Glasgow et al. 1995; Burkholder 45
and Glasgow 1997; Glasgow et al. 2001; Lovko et al. 46
2003). Recently, *P. shumwayae* was assigned to a new 47
genus, *Pseudopfiesteria*, based on morphology and phylo- 48
genetic rDNA analysis (Litaker et al. 2005). However, the 49
genus was then reclassified back to *Pfiesteria* after further 50
molecular phylogenetic analysis (Marshall et al. 2006). In 51
the mid-1990s, fish kills in North Carolina and Maryland 52
estuaries co-occurring with the presence of *Pfiesteria* spp. 53
brought HABs to the forefront of public attention in the 54
mid-Atlantic of the USA (Burkholder et al. 1992; Noga 55

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56 et al. 1996; Law 2001; Magnien 2001). In addition, *Pfiesteria* spp. have been discovered in other estuaries on the East
57 and Gulf coasts of the USA, as well as in New Zealand and
58 Europe (Ruble et al. 1999; Glasgow et al. 2001; Jakobsen
59 et al. 2002; Lewitus et al. 2002; Rhodes et al. 2002).

60 It remains unclear whether all strains of *P. piscicida* or
61 *P. shumwayae* are toxic to fish, but knowledge regarding
62 their physical attraction and subsequent attachment to fish
63 with a peduncle organelle, which leads to epithelial damage,
64 is clearly recognized (Vogelbein et al. 2001; 2002; Miller
65 and Belas 2003). Physical exposure to *P. piscicida* and
66 *P. shumwayae* is known to damage fish gill lamellae, and
67 cause epidermal skin lesions (Noga et al. 1996; Burkholder
68 and Glasgow 1997; Glasgow et al. 2001). Direct physical
69 contact between *P. shumwayae* (CCMP 2089 and CAAE
70 101272) and fish is the most consistent mechanism medi-
71 ating fish mortality in laboratory exposures (Gordon and Dyer
72 2005). Indeed, laboratory studies have shown that *P. shu-*
73 *mwayae* (CCMP 2089) directly attaches to fish skin, gill,
74 olfactory organs, and oral mucosa, causing extensive tissue
75 damage and mortality (Vogelbein et al. 2001; Berry et al.
76 2002; Vogelbein et al. 2002). Later studies, however, suggest
77 that toxicity of *Pfiesteria* spp. to fish can vary depending on
78 algal strain and assay variability (Burkholder et al. 2005).
79 Metal availability may also play a role in toxigenesis since a
80 metal-containing, organic-ligated toxin has recently been iso-
81 lated from *P. piscicida* (CCMP1921) (Moeller et al. 2007).

82 Fish mortality resulting from exposure to species of
83 *P. piscicida* and *P. shumwayae* has been well documented
84 in the laboratory. Less is known, however, regarding fish
85 kills in the field due to the complex assemblage of potential
86 etiologies and mitigating stress factors. For example, infec-
87 tion with opportunistic pathogens, including fungi and bac-
88 teria, combined with immune system changes, may play
89 synergistic roles in fish mortality in the wild (Blazer et al.
90 1999; Dykstra and Kane 2000; Law 2001; Vogelbein et al.
91 2001; Kiryu et al. 2002, 2003; Flewelling et al. 2005).

92 Behavioral alterations have been observed and docu-
93 mented in HAB-exposed fish from the wild and in the labo-
94 ratory. Atlantic menhaden, *Brevoortia tyrannus*, were
95 observed swimming erratically and writhing near the sur-
96 face during blooms of *Pfiesteria*-like dinoflagellates in the
97 field (Burkholder et al. 1995; Magnien 2001). In the labo-
98 ratory, several fish species exposed to *P. piscicida* and
99 *P. shumwayae* demonstrated loss of equilibrium, disorien-
100 tation, lethargy combined with periods of hyperactivity,
101 general depression, decreased respiration, wavering, fin
102 twitching, and settling to the bottom of the aquaria (Burk-
103 holder et al. 1995; Lewitus et al. 1995; Noga et al. 1996;
104 Berry et al. 2002; Gordon et al. 2002). These alterations
105 have only been documented at concentrations lethal to fish,
106 with the mechanism(s) of action driving these behaviors
107 unknown.
108

Blooms of *Chaetoceros* spp. on the Northwest coast of the
USA have been linked to numerous fish kills, with mortality
occurring through direct physical contact between the algae
and the gills of fish. *Chaetoceros* is a genus of diatoms
found in temperate coastal waters, and can cause finfish
mortalities at concentrations as low as 5 cells ml⁻¹ in
salmonid species (Bell et al. 1974; Yang and Albright
1992). Strains of *C. concavicornis* form long chains of
bullet-shaped cells that contain hollow, silicate spines
(setae) studded with smaller spines (spinules) along their
length (Yang and Albright 1992). Upon contact, these
spines penetrate and break off on the secondary lamellae
of fish gills causing hyperplasia, hypertrophy, and partial
or complete fusion of secondary lamellae (Yang and
Albright 1992). Fish mortality has been attributed to
microbial infections associated with damaged gill tissue,
including hemorrhage and suffocation from excess mucus
production (Bell 1961; Yang and Albright 1994). In
addition, *Chaetoceros* spp. blooms exacerbate mortality
associated with opportunistic diseases such as vibriosis
and bacterial kidney disease. Exposure to low cell counts
of *Chaetoceros concavicornis* is associated with neutro-
penia, lymphocytopenia, and thrombocytopenia in chinook
(*Oncorhynchus tshawytscha*) and coho (*Oncorhynchus
kisutch*) salmon, with as few as 15 cells ml⁻¹ leading to
mortality in juveniles (Yang and Albright 1994).

The present study investigated the effect of acute, sublethal
exposures to *P. shumwayae* (CCMP 2089) and *C. concavicornis*
(CCMP 169) on neuronal activity in the mummichog,
Fundulus heteroclitus, an ecologically important, common
species of estuarine killifish. This was accomplished through
quantification of c-Fos protein expression in the brains of
mummichog exposed to these harmful algal species.

The *c-fos* gene and its protein product c-Fos, are expressed
in neurons as a result of neuronal stimulation (Herdegen and
Leah 1998). Specifically, c-Fos is expressed rapidly and
transiently when neuronal activity changes rapidly, and it is
induced by transynaptic activity and neuronal stress. Altered
c-Fos expression is associated with a stress response and
related to neuronal survival, short term memory, and loco-
motory behaviors in many vertebrates (Bosch et al. 2001;
Cheng et al. 2002; Sadananda and Bischof 2002; Espana et al.
2003). The goal of the present study was to demonstrate
potential alterations in mummichog brain activity in vivo
resulting from *P. shumwayae* and *C. concavicornis* exposure,
visualized through changes in c-Fos expression. In addition
to general changes in brain activity, knowledge of the specific
regions within the brain that are activated during stress can
offer valuable insights into the neural control of fish behavior.

162 **Materials and methods**

163 Exposures

164 The *Pfiesteria shumwayae* (Glasgow et Burkholder) expo-
 165 sure was conducted in May 2003 at the Virginia Institute of
 166 Marine Science, College of William Mary, and the *Chae-*
 167 *toceros concavicornis* (Mangin) in March 2004 at the
 168 Aquatic Pathobiology Center, University of Maryland Col-
 169 lege Park. Mummichog (total length 74 ± 8.1 mm) used in
 170 these experiments were collected from a reference site in
 171 Solomons, Maryland, treated for ectoparasites, and accli-
 172 mated in the laboratory for at least 4 week prior to expo-
 173 sure. Laboratory holding conditions were 22.5°C ($\pm 2^\circ$), pH
 174 8.0 (± 0.5), and salinity 6 PSU, with water quality param-
 175 eters measured at the conclusion of the experiment (Tables 1
 176 and 2). Fish were fed pelleted fish chow (38% protein,
 177 Ziegler Bros., Gardners, Pennsylvania) and observed daily
 178 for general health. Fish were fasted 24 h prior to exposure
 179 and were not fed during the exposure.

180 *Pfiesteria shumwayae* exposure

181 *P. shumwayae* were cultured in the presence of *Tilapia* spp.
 182 following the methods of (Vogelbein et al. 2001, 2002).
 183 The culture originated from a Shields reference tank (1049-5E,
 184 strain CCMP #2089), which was started on April 19, 2002
 185 when subcultures from the original isolation of CCMP
 186 2089 were split into new tanks. The culture was grown with
 187 25–30 *Tilapia* spp. individuals' day⁻¹ for 203 days prior to
 188 experimentation. The culture had been killing fish with
 189 60–100% mortality every day for 18 days prior to its use in
 190 the experiment; and it had been consistently killing fish for

Table 1 Water quality parameters in aquaria following a 2-h exposure of mummichogs to *P. shumwayae*

Treatment (cells ml ⁻¹)	Temperature (°C)	pH	NH ₃ (ppm)	NO ₂ ⁻ (ppm)
0	22	7.8	0.011	0.4
1×10^3	22	7.8	0.006	0.2
2×10^3	22	7.4	0.045	4.0
2.6×10^4	22	7.8	0.056	2.0
7×10^4	22	7.9	0.140	4.0

Table 2 Water quality parameters in aquaria following a 2-h exposure of mummichogs to *C. concavicornis*

Treatment (cells ml ⁻¹)	Temperature (°C)	pH	NH ₃ (ppm)
0	24.5	8.31	0.038
8×10^2	24.5	8.38	0.038
5×10^3	24.5	8.44	0.069

110 days prior to its use. A fraction assay using larval fish
 (Vogelbein et al. 2002) was used to assess the presence of a
 toxin on days 153 and 202. The assays indicated that the
 cultures were killing fish from micropredation and not from
 toxicity (Vogelbein et al. 2002; Lovko et al. 2003). The
 culture continued to kill fish at 100% mortality day⁻¹ until
 day 215 when fish were removed in preparation for the
 exposures.

Mummichog was acclimated in five 38-l aquaria for
 4 days prior to exposure, 8 fish aquarium⁻¹, 40 total. Unfil-
 tered cultures were added to the respective aquaria to
 achieve five cell densities: 0, 1×10^3 , 2×10^3 , 2.6×10^4 ,
 and 7×10^4 cells ml⁻¹. These cell densities fall within
 environmentally relevant concentrations, as well as those of
 other laboratory studies (Burkholder et al. 1995; Lewitus
 et al. 1995; Burkholder et al. 2001a; Vogelbein et al. 2001,
 2002). Cell densities were determined based on the meth-
 ods of Lovko et al. (2003). Cultures were added gently, and
 aeration was not supplied during exposure to minimize the
 potential for the dinoflagellates to encyst during the acute
 2 h exposure period. The 2 h exposure period was based on
 pilot studies with *P. shumwayae* and previous work with
 mummichog (Salierno et al. 2006). Water quality parameters
 were measured and maintained throughout the exposures
 based on vessel loading (Table 1). One-min behavioral
 observations were conducted every 20 min during the 2 h
 exposure and any alterations were recorded.

Chaetoceros concavicornis exposure

Mummichog were exposed to *C. concavicornis* (CCMP
 169) under static conditions in 4-l glass aquaria, containing
 2-l of water, to three cell densities: 0, 8×10^2 , and
 5×10^3 cells ml⁻¹ without aeration. Aeration was not pro-
 vided in order to maintain consistency with the *P. shu-*
mwayae exposures. Cells were added to the water in the
 respective aquaria in which the fish had been acclimated for
 24 h (6 fish treatment⁻¹, 24 total), and cell densities were
 determined using a Neubauer hemacytometer. Diatoms
 within each chain of *C. concavicornis* were counted as indi-
 viduals, e.g., a chain of four individuals was counted as
 four. One-minute behavioral observations were conducted
 every 20 min throughout the 2 h exposure, and any altera-
 tions were recorded. Water quality parameters were mea-
 sured and maintained throughout the exposures (Table 2).

Tissue collection and preparation

After exposure to either *P. shumwayae* or *C. concavicornis*
 fish were deeply anesthetized with buffered MS-222. Fish
 were then perfused through the heart with ice cold heparin-
 ized PBS. After perfusion, the dorsal aspect of the cranium
 was dissected away leaving the brain exposed, and the fish

- 240 were then preserved whole in 10% neutral buffered formalin
 241 containing 2.5% acrolein for 3 h. Brains were then removed
 242 from the crania and transferred to a solution of 30% sucrose
 243 where they remained until embedding (Salierno et al. 2006).
 244 Gill and skin samples were taken from three fish per treat-
 245 ment and processed for general histology (Profet et al. 1992).
 246 Brains were embedded in egg gel molds and post-fixed
 247 in 4% paraformaldehyde overnight. They were then sunk in
 248 30% sucrose, frozen with dry ice, and sectioned on a cryo-
 249 microtome at a thickness of 25 μ m. All sections were col-
 250 lected, placed in a cryoprotectant antifreeze solution, and
 251 stored at -20°C until processed for immunocytochemistry
 252 (Watson et al. 1986).
- 253 c-Fos immunocytochemistry
- 254 Sections were processed free floating and in parallel, such
 255 that all sections were treated the same. Every third brain
 256 section was selected, rinsed in potassium phosphate
 257 buffered saline (KPBS), and incubated in sodium borohy-
 258 dride for 20 min to remove any residual acrolein. To
 259 enhance signal resolution and reduce background staining,
 260 an antigen retrieval procedure was followed using a sodium
 261 citrate buffer (Salierno et al. 2006). Sections were then
 262 immediately rinsed with KPBS, immersed into the poly-
 263 clonal primary antibody (sheep anti-c-Fos, 1:1,000 in
 264 KPBS containing 0.4% Triton-X, Chemicon, Temecula,
 265 California), incubated at room temperature for 60 min, and
 266 then at 4°C for 48 h. A subset of sections from a pilot study
 267 processed with the primary antibody alone demonstrated
 268 that background staining was minimal.
- 269 After incubation in the primary antibody, sections were
 270 immersed in the secondary antibody (biotinylated rabbit-
 271 anti sheep, IgG 1:600 in KPBS with 0.4% Triton-X, Vector,
 272 Burlingame, California), and incubated for 60 min at room
 273 temperature. Sections were then immersed into avidin–bio-
 274 tin complex (Vector Stain ABC kit, 45 μ l of avidin and
 275 45 μ l of biotin per 10 ml of KPBS with 0.4% Triton-X),
 276 and incubated at 25°C for 60 min. For visualization of
 277 c-Fos expression, sections were incubated in nickel-DAB
 278 chromogen (0.002 g 3,3 diaminobenzidine, Sigma-Aldrich,
 279 0.25 g nickel sulfate, and 8.3 μ l of H_2O_2 /10 ml of sodium
 280 acetate) for 20 min and rinsed with the sodium acetate and
 281 KPBS. After staining, sections were stored in KPBS at 4°C
 282 until mounting (Salierno et al. 2006).
- 283 The following six regions of the killifish brains were
 284 selected for analysis based on consistent c-Fos expression
 285 observed in prior experiments: the anterior telencephalon
 286 (area ventralis telencephali pars ventralis [Vv] and dorsalis
 287 [Vd]); the posterior telencephalon (diencephalic ventricle
 288 [DiV] and anterior parvocellular preoptic nucleus [PPa]);
 289 two regions in the optic tectum: anterior and posterior
 290 periventricular grey zone, (L1 & L2); the midbrain tegmentum
 (ventrolateral nucleus of the torus semicircularis [TSvl]; the
 nucleus lateralis valvulae [NLV]); and the rhombencepha-
 lon (medial longitudinal fascicle [MLF]) (Wulliman et al.
 1996; Salierno et al. 2006). Once specific brain sections had
 been selected based on c-Fos expression patterns, specific
 regions within the sections were quantified for c-Fos
 expression.
- Data collection and analysis
- Images from each brain section were viewed using a Nikon
 Eclipse 800 microscope through a $10\times$ objective lens with
 consistent illumination. Digital images were captured
 through the microscope using a digital camera (Photomet-
 rics SenSys, BioVision Technologies, Exton, Pennsylvania)
 connected to a Macintosh G4 computer. Smaller, specific
 regions of interest within the brain sections were outlined,
 based on previous data with mummichog, and imported
 into NIH-Image for area calculation (Fig. 1; Salierno et al.
 2006). Calculation of c-Fos stain area was consistently
 quantified by “black” level-adjustment thresholding in
 Adobe PhotoshopTM in order to limit the images to contain
 only areas of DAB staining. This level of threshold was
 determined optimal to consistently visualize areas of stain-
 ing, based on preliminary experiments and previous studies
 (Salierno et al. 2006). The black areas of stain were subse-
 quently analyzed in NIH-Image and normalized by the total
 section area. Previous studies demonstrated that sections in
 which c-Fos positive nuclei were hand counted were in
 agreement with the densitometry method.
- The goal was to quantify alterations in c-Fos expression
 within regions of the mummichog brain as exposure algal
 concentrations increased. Therefore, the percentage of Fos-
 positive nuclei within the brain regions of interest as an
 indicator of regional c-Fos expression was then calculated
 and compared across the varying algal concentrations. Con-
 centration was treated as a continuous variable and data
 were arcsin square root transformed to reduce the variance,
 and to meet the assumptions of the ANOVA procedure
 prior to analysis. A two-way ANOVA was then used to
 analyze the effect among different algal concentrations and
 region of the brain on c-Fos expression (PROC MIXED,
 SAS, vs. 9.1, Cary, North Carolina). In the event of a sig-
 nificant *F*-value, mean c-Fos expression values within brain
 regions were compared by algal concentration (*t*-values,
 $P \leq 0.05$). *P*-values represent the comparison of the algal
 density with c-fos expression within specific brain regions.
- Results**
- There was strong punctuate staining of neurons express-
 ing c-Fos activity with exposure to *P. shumwayae* and

339 *C. concavicornis*. Differential expression in c-Fos expres- 361
 340 sion was observed across brain regions, with the largest 362
 341 increases in labeling in exposed fish occurring in the telen- 363
 342 cephalon and optic lobes (Fig. 1). High variance in expres- 364
 343 sion was observed among brain regions. Exposed fish 365
 344 displayed higher variability compared to controls, but this 366
 345 difference was not significant (Levene Test $P > 0.05$). 367
 346 Differences between experiments using the two HABs may 368
 347 reflect differences in procedures in the two laboratories, 369
 348 while variability within experiments likely reflects differ- 370
 349 ences in responses of individual fish. However, exposures 371
 350 to both algal species were similar with significant increases 372
 351 in c-Fos activity in the same regions of the brain compared 373
 352 to non-exposed fish.

353 *Pfiesteria shumwayae* exposure

354 All brain regions investigated displayed greater labeling in 372
 355 exposed than in non-exposed fish, when exposed to increas- 373
 356 ing concentrations of *P. shumwayae* ($F = 25.9$, $P \leq 0.001$, 374
 357 Fig. 2a). c-Fos expression increased in the anterior and pos- 375
 358 terior telencephalon as *P. shumwayae* densities increased 376
 359 ($t = 4.49$ and 2.43 , $P = 0.0001$ and 0.016 respectively, 377
 360 Fig. 2a). c-Fos expression in the anterior optic lobe region 378
 379
 380
 381
 382

also increased as *P. shumwayae* densities increased 361
 ($t = 1.72$, $P = 0.087$, Fig. 2a). There were no alterations in 362
 c-Fos labeling in the midbrain tegmentum or rhombenceph- 363
 alon (brain stem) ($t = 0.960$ and 1.19 , $P = 0.641$ and 0.426 364
 respectively, Fig. 2a). 365

Behavioral alterations were observed during exposure, 366
 including “waving” in the water column and tetany of the 367
 fins, as previously described (Berry et al. 2002). No histo- 368
 logical differences were observed in the gill or skin samples 369
 between exposed and non-exposed fish. 370

Chaetoceros concavicornis exposure

Fish exposed to *C. concavicornis* showed increased c-Fos 372
 expression compared to control fish ($F = 9.06$, $P \leq 0.05$, 373
 Fig. 2b). Increased labeling was observed in the anterior 374
 and posterior telencephalon, anterior optic lobe, and poster- 375
 ior optic lobe ($t = 3.37$, 2.55 , 2.62 , and 2.67 , $P = 0.001$, 376
 0.012 , 0.010 , and 0.009 respectively, Fig. 2b). Empirical 377
 observations of c-Fos labeling from exposed fish suggested 378
 increased labeling in all regions of the brain compared with 379
 controls. In addition, there was a dose-response relationship 380
 with increasing densities of *C. concavicornis* associated 381
 with increased c-Fos expression. 382

Fig. 1 *Fundulus heteroclitus*,
 a, b show representative optic
 tecta from non-exposed
 (0 cells ml^{-1}) and *P. shu-*
mwayae-exposed
 ($2 \times 10^3 \text{ cells ml}^{-1}$) mummi-
 chog, respectively. c, d show
 representative optic tecta from
 non-exposed (0 cells ml^{-1}) and
C. concavicornis-exposed
 ($5 \times 10^3 \text{ cells ml}^{-1}$) fish,
 respectively. Note differences in
 c-Fos expression represented by
 black punctuate nuclei in ex-
 posed brains (b and d). Outlines
 represent regions of the tecta that
 were quantitatively analyzed and
 normalized by observation area.
 Scale bar = $100 \mu\text{m}$

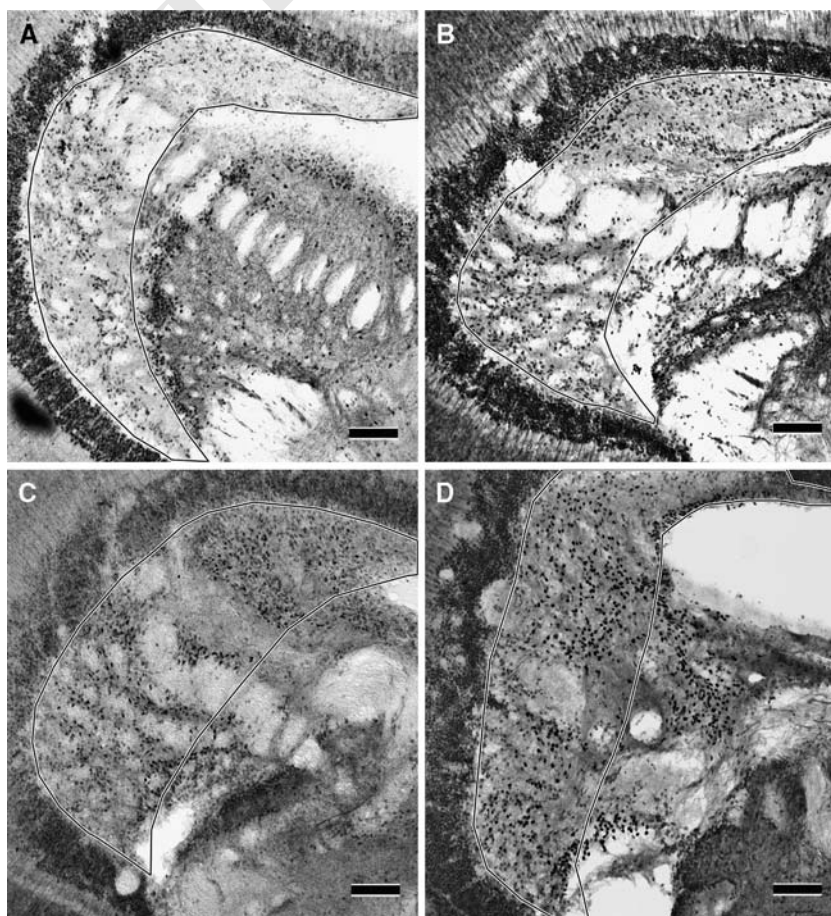
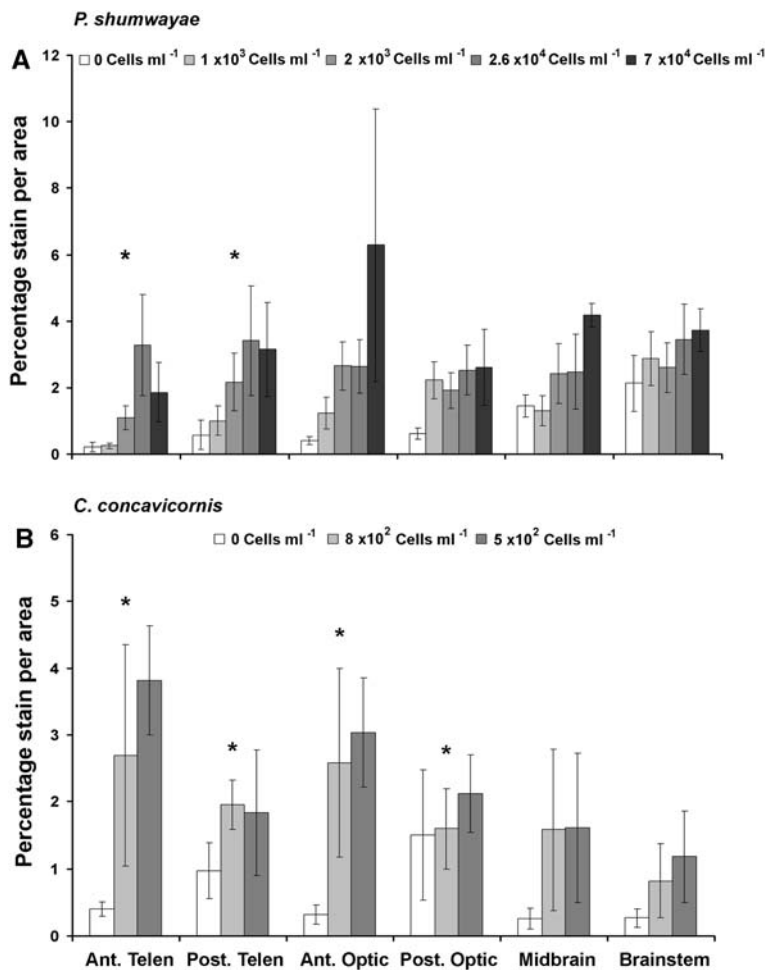


Fig. 2 *Fundulus heteroclitus*. c-Fos expression in mummichog brains exposed to varying densities of **a** *P. shumwayae* (0 , 1×10^3 , 2×10^3 , 2.6×10^4 , and 7×10^4 cells ml^{-1} , $N = 8$) and **b** *C. concavicornis* (0.8×10^2 and 5×10^3 cells ml^{-1}) (mean \pm SE, $N = 6$). *Ant. Telen* anterior telencephalon, *Post. Telen* posterior telencephalon, *Ant. Optic* anterior optic lobe, *Post. Optic* posterior optic lobe, *Midbrain* midbrain tegmentum, *Brainstem* rhombencephalon. The y-axis equals percentage of c-Fos expression per area (mm^2). **a** Significant increases in c-Fos expression occurred in the *Ant* and *Post Telen* regions of *P. shumwayae*-exposed fish ($P = 0.0001$, and 0.0161 , asterisk respectively). **b** Significant increases in c-Fos expression occurred in the *Ant. Telen* and *Post. Telen*, and the *Ant.* and *Post. Optic* regions of *C. concavicornis*-exposed fish ($P = 0.0011$, 0.0122 , 0.0101 , and 0.0088 , asterisk, respectively)



383 In contrast to the *P. shumwayae* exposures, no behav- 402
 384 ioral alterations were observed in *C. concavicornis*-exposed 403
 385 fish. As with *P. shumwayae*-exposed fish, no histopathol- 404
 386 ogy was observed in the gills or skin from the *C. concavi-* 405
 387 *cornis*-exposed fish when compared with controls. 406

388 Discussion

389 Exposure of mummichog to varying densities of *P. shu-* 408
 390 *umwayae* and *C. concavicornis* resulted in increased neuro- 409
 391 nal c-Fos expression. Increases in c-Fos expression were 410
 392 observed in several regions of the mummichog brain, with 411
 393 the largest increases in labeling in exposed fish occurring 412
 394 in the telencephalon and optic lobes. These findings are 413
 395 consistent with other studies utilizing c-Fos expression to 414
 396 examine effects of stress exposure in fish (Bosch et al. 415
 397 1995, 2001; Baraban et al. 2005; Salierno et al. 2006; 416
 398 Shimomura-Umemura and Ijiri 2006). The increases observed 417
 399 in this study are indicative of changes in neuronal activity 418
 400 resulting from exposure to *P. shumwayae* and *C. concavi-* 419
 401 *cornis* in vivo. This is consistent with in vitro exposures to 420

P. piscicida organic and residual water fractions of mam- 402
 mammalian and teleost cell lines, which demonstrated 403
 increased *c-fos*-luciferase expression (Fahey et al. 1999). 404
 Both HAB species investigated in this study are known to 405
 be harmful to fish and both can cause mortality at high cell 406
 densities. 407

In both algal exposures, the most notable increases in c- 408
 Fos expression occurred in the telencephalon and optic 409
 lobes of exposed fish. Densities of *P. shumwayae* and *C.* 410
concavicornis as low as 1×10^3 cells ml^{-1} resulted in 411
 increased c-Fos expression in the anterior telencephalon 412
 and anterior optic lobes, and in the anterior telencephalon 413
 and periventricular gray zone of the optic tectum, respec- 414
 tively. In addition, as densities of both *P. shumwayae* and 415
C. concavicornis increased, c-Fos expression also increased. 416
 These increases in expression in the telencephalon and 417
 optic tectum are consistent with changes seen in fish 418
 exposed to transport stress and HAB neurotoxins (Salierno 419
 et al. 2006). Further, increases in neuronal stress in the 420
 optic tectum and telencephalon may have deleterious 421
 effects on behavior, potentially compromising responses to 422
 stimuli and survival. 423

424 Mortality has typically been the observed endpoint in
425 previous fish bioassays with *P. shumwayae* (Noga et al.
426 1996; Burkholder and Glasgow 1997; Burkholder et al.
427 2001a; 2001b; Berry et al. 2002; Vogelbein et al. 2002;
428 Lovko et al. 2003). The dominant and most consistent
429 cause of death is believed to be direct contact of *P. shu-*
430 *mwayae* with fish (Vogelbein et al. 2002; Lovko et al.
431 2003). The present study, however, focused on the effects
432 of sublethal exposure of fish to environmentally relevant
433 densities of *P. shumwayae*. Although notable changes in
434 CNS activity, visualized through c-Fos expression, were
435 observed no histological alterations were observed in the
436 gills or skin of mummichog from this 2 h exposure to
437 *P. shumwayae* (data not shown). The absence of histopa-
438 thology in this acute exposure contrasts with the skin
439 pathologies in mummichog exposed for > 24 h (Lovko
440 et al. 2003).

441 Alterations in fish behavior resulting from exposure to
442 *Pfiesteria*-like dinoflagellates have been observed and doc-
443 umented in both field and laboratory studies (Burkholder
444 et al. 1995; Berry et al. 2002). However, the neural mecha-
445 nisms controlling these behaviors remain poorly under-
446 stood. Mummichog exposed to *P. shumwayae* in the
447 present study exhibited alterations in behavior similar to
448 those reported for tilapia (Berry et al. 2002). In addition to
449 altered behavior, mummichog exposed to densities of
450 *P. shumwayae* as low as 1×10^3 cells ml⁻¹ displayed sig-
451 nificantly greater c-Fos induction in neurons compared with
452 control fish. This is the first report of neurological altera-
453 tions in fish resulting from sublethal exposure to *P. shu-*
454 *mwayae*. It is unclear, however, whether increases in c-Fos
455 result from physical stress alone, a toxin, or a synergism
456 between the two. Recently, a metal-containing organic-
457 ligated toxin has been identified and characterized in
458 *P. piscicida* (CCMP1921) (Moeller et al. 2007). However,
459 the toxin is labile and the structure appears to be dependant
460 on the organic matter present in the environment (Moeller
461 et al. 2007). There is no direct evidence to support that
462 *P. shumwayae* produces any toxic compounds. Neverthe-
463 less, exposure of mummichog to a soluble toxin in the
464 present study cannot be ruled out and requires further
465 investigation.

466 The cell densities of *P. shumwayae* used for this study
467 are similar to, and in the range of densities recorded from,
468 the field and from other laboratory studies. In North
469 Carolina estuaries, densities of *Pfiesteria* spp. can range
470 from 50–35 × 10³ cells ml⁻¹, with some samples reaching
471 1×10^5 cells ml⁻¹ (Burkholder et al. 1995; Glasgow et al.
472 1995). In the Chesapeake Bay, *Pfiesteria* spp. densities
473 ranged between 3×10^2 and 9×10^2 cells ml⁻¹ in the
474 Pocomoke river in 1997 (Glasgow et al. 2001). Laboratory
475 bioassay exposures using *P. shumwayae* (CCMP 2089 and
476 CAAE 101272) have ranged from 15 to 25×10^3 cells ml⁻¹,

with mortality occurring at densities greater than 477
 3×10^2 cells ml⁻¹ (Lewitus et al. 1995; Gordon et al. 2002; 478
Vogelbein et al. 2002; Lovko et al. 2003; Gordon and Dyer 479
2005). 480


481 This study demonstrated that fish exposed to the HAB
482 species *P. shumwayae* and *C. concavicornis* had signifi-
483 cantly increased c-Fos expression, an indicator of neuronal
484 stress. Additionally, these changes in brain activity result-
485 ing from *P. shumwayae* and *C. concavicornis* exposures
486 are quantifiable and are not accompanied by histopatholog-
487 ical changes in the skin and gills. Regional brain increases
488 in c-Fos expression associated with *P. shumwayae* and
489 *C. concavicornis* provide novel insights into neuronal
490 responses to HAB exposure in fish. These alterations in
491 neuronal activity in response to stress exposure in the labo-
492 ratory may have deleterious effects in fish exposed to
493 HABs in the wild. Results indicate that c-Fos expression
494 describes a novel effect of sublethal exposure to *P. shu-*
495 *mwayae* and *C. concavicornis*, and may serve to link
496 higher-level alterations, including behavior, with neuronal
497 stress.

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